

Form D5

Clearing account opening form

Please find below details for our request for opening a new clearing account in Nasdaq Dubai and/or Dubai Clear (Clearing House(s)).

Details (to be completed by Clearing Member)

Member code			
Client name			
Client type	Institutional <input type="radio"/>	Non – Institutional <input type="radio"/>	
Segregation type	Omnibus (Gross) <input type="radio"/>	Segregated clients (Net) <input type="radio"/>	House (Net) <input type="radio"/>
Nationality			
Date of Birth or Date of Company Registration			
Mobile			
Email id			
Address			
Client trading account number			
ID details	ID Number	Type of ID (PP/EID/Reg. No.)	
	Issue Date	City	Country

Note: Preferred clearing code is a unique number and will be rejected if it is already provided to any other client. This account number will also be the trading account number used for trade execution.

Declaration and Disclaimer

We hereby make this request pursuant to the Business Rules and Operating Procedures of the Clearing House(s) as applicable and agree to comply with the applicable laws and regulations in relation to this request. We acknowledge that access to a clearing account in the Clearing House(s) will be subject to our Membership of the Clearing House(s). I/We declare that the particulars in this Form are complete and accurate and the signatory to this Form is authorised to represent and bind our company, its directors and assigns such that Clearing House(s) as applicable is entitled to rely and act on this request without further inquiry. We agree to pay all fees and charges in relation to this request (if any) as published by the applicable Clearing House(s).

The Clearing House(s) as applicable shall not be liable for any losses associated with such requests it validly effects in accordance with its Rules. The Clearing House(s) as applicable shall not be obliged, in law or otherwise, to effect requests which contain incomplete or incorrect information.

Name of Member: _____

Date: _____

Signed for and on behalf of _____

Authorised Signatory Name (Block Letters): _____