

FORM D - SECURITIES PLEDGE CREATION ON NASDAQ DUBAI CENTRAL SECURITIES DEPOSITORY (CSD)

PLEDGOR'S DETAILS:

NIN No:			
NIN holders Name:			
Members/Custodian code:			
Pledge effective date:		Pledge period:	
CA distributions paid to:	Pledgor <input type="checkbox"/>	OR	Pledgee Bank <input type="checkbox"/>

Please pledge the following securities held in my account with Nasdaq Dubai CSD:

SERIAL NO.	ISIN	SECURITY NAME	SECURITY TYPE	QUANTITY	CLOSING DATE	FEEs

Please pledge the above-referenced securities in favor of the following Pledgee Bank:

PLEDGEE BANKS DETAILS:

Pledgee Bank NIN (if available):	
Name:	
Contact Person:	
Telephone:	
Fax No.:	
Address:	
P.O. Box No.:	Branch Name:
City:	Country:

Note: Please attach to this form the appropriate pledge contracts and/or documents of the underlying transaction between the shareholders, Pledgor, Pledgee Bank, the relevant custodian banks and/or brokers, etc.

1. PLEDGOR

I, **Pledgor**, confirm and warrant that the information stated in this form is true, accurate and complete and I assume the full responsibility and liability for this information and from the creation of this Pledge(s) without holding the CSD responsible or liable for the invalidity, inaccuracy and/or incompleteness of such information. I agree to fully indemnify Nasdaq Dubai, including its directors, employees and agents, against all losses, costs, damages, expenses and liabilities (“Losses”) incurred or suffered by Nasdaq Dubai (if any) where such Losses arose out of or in connection with this Pledge(s) creation.

I confirm that I have instructed undersigned Nasdaq Dubai Member/Custodian, to request Nasdaq Dubai to create this pledge(s) in the CSD on my behalf and I warrant that I have full authority and capacity to provide that instruction. I understand that the process for this request and the pledge(s) creation in the CSD will be in accordance with Nasdaq Dubai’s Business Rules and Operating Procedures (“Rules”), the CSD Terms and conditions and applicable laws and regulations of the Dubai International Financial Centre (“DIFC”) in relation to the pledging of securities, as amended, and I agree to be bound by the same, as applicable.

I confirm I am in compliance with applicable laws and regulations and there are no legal or regulatory restrictions, or restrictions on transfer, that prevent the pledge in the CSD as requested. I authorise Nasdaq Dubai to obtain information from, and pass information to Dubai Financial Market (and any other third parties as necessary) to the extent that it is required for Nasdaq Dubai to discharge its services.

Pledgor: _____ Signature: _____ Date: _____

2. NASDAQ DUBAI MEMBER/CUSTODIAN

We confirm that we have received valid instructions from our client, the above named Pledgor, to request Nasdaq Dubai to create this pledge(s) in the CSD. We believe that the information stated above is true, accurate and complete and agree not to hold the CSD responsible for the invalidity, inaccuracy and/or incompleteness of such information. We acknowledge that valid instructions received by Nasdaq Dubai will be processed in accordance with the Rules, the CSD Terms and conditions and applicable laws and regulations of the DIFC in relation to the pledging of securities, as amended.

We understand that as a Member of Nasdaq Dubai, we are bound by the Rules, the CSD’s Terms and Conditions, and applicable laws and regulations of the DIFC in relation to the pledging of securities, as amended from time to time. We undertake to indemnify Nasdaq Dubai, including its directors, employees and agents, against all losses, costs, damages, expenses and liabilities (“Losses”) incurred or suffered by Nasdaq Dubai (if any) where such Losses arose out of or in connection with this Pledge creation.

We confirm we are in compliance with the Rules and all applicable agreements with Nasdaq and there are no legal or regulatory restrictions, or restrictions on transfer, that prevent the pledge(s) in the CSD as requested.

We authorise Nasdaq Dubai to obtain information from, and pass information to Dubai Financial Market to the extent that it is required for Nasdaq Dubai to discharge its services.

We understand that the information which has been provided herein will be processed for the purpose of facilitating the pledge(s) in the CSD and such information will also be used for necessary communications between us and Nasdaq Dubai.

Member: _____ Signature: _____

Title: _____ Date: _____

3. PLEDGEE BANK

We, Pledgee Bank, acknowledge and confirm the creation of the aforesaid pledge(s) on the Nasdaq Dubai CSD. We understand that the process for the pledge(s) creation in the CSD will be in accordance with the Rules, the CSD Terms and conditions and applicable laws and regulations of the DIFC in relation to the pledging of securities, as amended, and we agree to be bound by the same, as applicable.

Pledgee Bank: _____ Signature: _____

Title: _____ Date: _____

(Pledge Bank's Stamp)

Acknowledgement

As referenced above, Nasdaq Dubai's CSD accepts the creation of this pledge request in favor of the Pledgee Bank. This pledge shall be governed by and construed in accordance with Nasdaq Dubai's Business Rules, the CSD's Terms and Conditions and the laws and regulations of the DIFC.

Authorised Signature of Nasdaq Dubai CSD Manager:

Name of Nasdaq Dubai CSD Manager:

Date:

Important Notice: The Pledgor and Pledgee Bank shall be deemed responsible for obtaining the appropriate pledge contracts and fulfilling all legal requirements and conditions thereof to ensure the Pledgor's and Pledgee Bank's competence and acceptance of the pledge. Nasdaq Dubai shall accept no liability in relation to the legal enforceability or otherwise of the pledge(s). The CSD shall create the pledge as stated in this form by no later than the following business day from receipt of this form and subject to the availability of these securities. The pledge shall be invoked or released only by a request made by the Pledgee Bank and submitted to the CSD. The concerned party shall pay the applicable pledge fees to the CSD, as identified in the published price list at www.nasdaqdubai.com.